



6-5-02

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Express Mail No.: EL 477 036 634 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Tarrant, Jeffrey G.

Application No.: 10/091,412

Group Art Unit: 2122

Filed: March 5, 2002

Examiner: Not yet assigned

For: METHOD AND SYSTEM FOR CREATING
AND OPERATING AN INVESTABLE
HEDGE FUND INDEX FUND

Attorney Docket No.: 10727-004

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents
BOX MISSING PARTS
Washington, D.C. 20231

Sir:

In response to the Notice To File Missing Parts Of Nonprovisional Application mailed on April 8, 2002, a copy of which is enclosed, applicants submit herewith, (1) a Declaration for Non-Provisional Patent Application executed by the inventor; and (2) 26 sheets of substitute drawings with appropriate margins.

The fee for the submission of this response is estimated to be \$65. Please charge all required fees to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

Date June 3, 2002

Steven I. Wallach (Reg No. 47,205)
35,402
for Steven I. Wallach
Reg. No.
PENNIE & EDMONDS LLP
1155 Avenue of the Americas
New York, New York 10036-2711
(212) 790-9090

Enclosures



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/091,412	03/05/2002	Jeffrey G. Tarrant	10727-004

CONFIRMATION NO. 8890

FORMALITIES LETTER



OC000000007812059

PENNIE AND EDMONDS
1155 AVENUE OF THE AMERICAS
NEW YORK, NY 100362711

Date Mailed: 04/08/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

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drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

E. H. H. H.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY

2009-11-03 10:00:00